

## IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

APPLICANT: T. Ishibashi, et al. ATTORNEY DOCKET NO. 9793822-0158  
SERIAL NO. not yet assigned GROUP ART UNIT: not yet assigned  
FILING DATE: December 5, 2001 EXAMINER: not yet assigned  
INVENTION: ORGANIC ELECTROLUMINESCENT ELEMENT AND LUMINESCENT DEVICE

INFORMATION DISCLOSURE STATEMENT TRANSMITTAL LETTER

HON. ASSISTANT COMMISSIONER OF PATENTS  
Washington, D.C. 20231

Sir:

Submitted herewith is an Information Disclosure Statement for consideration in the above-identified application. This Information Disclosure Statement is submitted:

- ☒ Within 3 months (1) of filing date of a national application; (2) of date of entry of the national stage as set forth in 37 C.F.R. §1.491 in an international application; or (3) before the mailing date of a first Office Action on the merits, whichever occurs last. (No fee is required.)
- ☐ After the mailing date of a first Office Action but before (1) mailing of a final action under 37 C.F.R. §1.113; or (2) mailing of a notice of allowance under §1.311, whichever occurs first.  
☐ Payment for the fee set forth in 37 C.F.R. §1.17(p) accompanies this submission; or  
☐ The certification specified in 37 C.F.R. §1.97(e) is made below. (No fee is required.)
- ☐ After the mailing of (1) a final action under 37 C.F.R. §1.113; or (2) a notice of allowance under 37 C.F.R. §1.311 whichever occurs first, but **before** payment of the issue fee. The certification specified in 37 C.F.R. §1.97(e) is made below. The Commissioner hereby is petitioned to consider the Information Disclosure Statement accompanying this submission. Payment for the Petition fee set forth in 37 C.F.R. §1.17(i)(1) accompanies this submission.
- ☐ The undersigned counsel for applicant(s) hereby certifies each item of information identified in the Information Disclosure Statement was first cited in a communication from a foreign Patent Office in a counterpart foreign application not more than three months prior to the filing of this Information Disclosure Statement.
- ☐ The undersigned counsel for applicant(s) hereby certifies that no item of information contained in the Information Disclosure Statement was cited in a communication from a foreign Patent Office in a counterpart foreign application, or to the knowledge of the undersigned, after making reasonable inquiry, was known to any individual designated in 37 C.F.R. §1.56(c) more than three months prior to the filing of this Information Disclosure Statement.
- ☐ A check in the amount of \$\_\_\_\_\_ to cover any required fee is enclosed.
- ☒ The Commissioner is hereby authorized to charge any additional fees which may be required, or credit any overpayment to Account No. 19-3140. *A duplicate copy of this sheet is enclosed for this purpose.*

Very respectfully,

SONNENSCHN NATH & ROSENTHAL

By David R. Metzger, Reg. 32,919

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CERTIFICATE OF MAILING

I hereby certify that this Information Disclosure Statement is being deposited with the United States Postal Service as U.S. Express Mail, Label No. EL834723455US to the United States Patent and Trademark Office, P.O. Box 2327 - PCT, Arlington, VA 22202 December 5, 2001.

Ellen Hogan  
Ellen Hogan

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 LUMINESCENT DEVICE

Hon. Assistant Commissioner for Patents

Washington, DC 20231

S I R:

In accordance with the provisions of 37 C.F.R. § 1.56, Applicants request that citation and examination of the references identified on the attached PTO-1449 form, copies of which are enclosed herewith in accordance with 37 C.F.R. §1.98, be made during the course of examination of the above-referenced application for United States Letters Patent.

I. SUBMITTED UNITED STATES PATENT REFERENCES

<u>Reference</u>	<u>Number</u>	<u>Patentee</u>	<u>Issue Date</u>
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II. SUBMITTED FOREIGN PATENT REFERENCES

<u>Reference</u>	<u>Number</u>	<u>Country</u>	<u>Date of Publication</u>
	0960927	EP	December 1, 1999
	11329731	JP	November 30, 1999
	2000-12224	JP	January14, 2000
	0967834	EP	December 29, 1999
	2000-12226	JP	January 14, 2000
	0388768	EP	September 26, 1990
	2247278	JP	October 3, 1990

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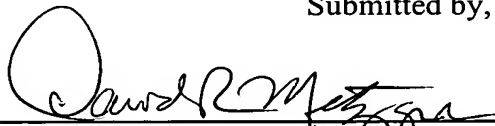
III OTHER DOCUMENTS

<u>Reference</u>	<u>Title/Author</u>	<u>Date of Publication</u>
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IV. EXPLANATION OF RELEVANCE

The references were cited in the Japanese Patent Office Search Report of July 17, 2001, in counterpart PCT/JP01/03051. A copy of the Search Report is submitted herewith.

Submitted by,



(Reg. 32,919)

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Attorneys for Applicant(s)

DATE: December 5, 2001

10/009021  
 JC12 Rec'd PCT/PTO 05 DEC 2001  
 SHEET 1 of 1

## Form PTO - 1449 (Modified)

FORM PTO-1449 U.S. DEPARTMENT OF COMMERCE  
 (Modified) PATENT AND TRADEMARK OFFICE

INFORMATION DISCLOSURE  
 STATEMENT BY APPLICANT

(Use several sheets if necessary)

(37 CFR 1.98 (b))

ATTY. DOCKET NO.

9793822-0158

SERIAL NO.

Not yet assigned

APPLICANT

T. Ishibashi, et al.

FILING DATE

December 5, 2001

GROUP

Not yet assigned

## U.S. PATENT DOCUMENTS

EXAMINER INITIAL	PATENT NUMBER	ISSUE DATE	PATENTEE	CLASS	SUB CLASS	FILING DATE

## FOREIGN PATENT OR PUBLISHED FOREIGN PATENT APPLICATION

		DOCUMENT NUMBER							PUBLIC- ATION DATE	COUNTRY OR PATENT OFFICE	CLASS	SUB CLASS	TRANS- LATION	
													YES	NO
		0	9	6	0	9	2	7	01.12.99	EP				
	1	1	3	2	9	7	3	1	30.11.99	JP			*	
	2000	1	2	2	2	4			14.01.00	JP			*	
		0	9	6	7	8	3	4	29.12.99	EP				
	2000	1	2	2	2	6			14.01.00	JP			*	
		0	3	8	8	7	6	8	26.09.90	EP				
		2	2	4	7	2	7	8	03.10.90	JP			*	

## OTHER DOCUMENTS (Including Author, Title, Date, Place of Publication)


EXAMINER

DATE CONSIDERED

EXAMINER: Initial citation considered. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.

(Form PTO-1449)